|   |   |                                 | TRANSACTION AND PREDETERMINATION INFORMATION                                     |  |                          |              |  |
|---|---|---------------------------------|--|--|--------------------------|--------------|--|
|   |   |                                 | 13. Type of Transaction (Mark all Applicable Boxes)                              |  |                          |              |  |
|   |   |                                 | Statement of Actual Services Request for Predetermination/Pre-treatment Estimate |  |                          |              |  |
| SUBSCRIBER INFORMATION 1. Policyholder / Subscriber Name (Last, First, Middle Initial, Suffix), Address, City, State, ZIP Code  |   |                                 | EPSDT/ Title XIX     Encounter   |  |                          |              |  |
|   |   |                                 | 14. Predetermination/<br>Pre-treatment<br>Estimate Number                        |  |                          |              |  |
|   |   |                                 | TREATMENT INFORMATION  |  |                          |              |  |
|   | 15. Treatment Resulting From Occupational Illness/injury Auto accident Other accident   |                                 |  |  |                          |              |  |
|   | 16. Date of Accident (MMDDCCYY)     17. Auto Accident State   |                                 |  |  |                          |              |  |
| 2. Date of Birth (MMDDCCYY) 3. Gender 4. Policyholder / Subscriber ID (SSN or ID#)  |   |                                 | 18. Place of Treatment 19. Number of Enclosures (00 to 99)                       |  |                          |              |  |
|   |   |                                 | Provider's Office Hospital ECF Other   |  |                          |              |  |
| 5. Plan or Group<br>Number 6. Employer<br>Name  | 20. Is Treatment for Orthodontics? 21. Date Appliance Placed (MMDDCCYY) No (Skip 21-22) Yes (Complete 21-22)  |                                 |  |  |                          |              |  |
|   | 22. Months of 23. Replacement of Prosthesis? 24. Date of Prior Placement (MMDDCCYY)   |                                 |  |  |                          |              |  |
| 7. Relationship to Policyholder/Subscriber in #1 /<br>Self Spouse Dependen  | Treatment<br>Remaining No Yes (Complete 44)   |                                 |  |  |                          |              |  |
| 8. Patient Name (Last, First, Middle Initial,   |   |                                 |  |  |                          |              |  |
|   | 25. Other Coverage? None Dental (Complete 26-32) Medical (Complete 26-32)   |                                 |  |  |                          |              |  |
|   | 26. Name of Other Coverage Policyholder / Subscriber (Last, First, Middle Initial, Suffix)  |                                 |  |  |                          |              |  |
|   | 27. Date of Birth (MMDDCCYY) 28. Gender 29. Policyholder / Subscriber ID (SSN or ID#)   |                                 |  |  |                          |              |  |
| 9. Date of Birth (MMDDCCYY) 10. Gende   | er 11. Patient ID/A   | Account # (Assigned by Dentist) | 30. Plan or  | 31. Patient's                          | Relationship to Person N | lamed in #26 |  |
| M F   |   |                                 | Group<br>Number Self Spouse Dependent Other                                      |  |                          |              |  |
| 12. Remarks   | 32. Other Insurance Company / Dental Benefit Plan Name, Address, City, State, ZIP Code  |                                 |  |  |                          |              |  |
|   |   |                                 |  |  |                          |              |  |
| 33. Diagnosis Codes A.  |   | В.                              | C.   |  | D.                       |              |  |
| RECORD OF SERVICES PROVIDED   |   |                                 |  |  |                          |              |  |
| 34. Procedure Date<br>(MMDDCCYY)     35. Area of<br>Oral Cavity     36. Tooth Number(s)<br>or Letter(s)     37. Tooth<br>Surface     38. Quantity     39. Procedu<br>Code               |   |                                 | re 40. Diagnosis<br>Pointer<br>(A, B, etc.) 41. Description 42. Fee              |  |                          |              |  |
| 1   |   |                                 |  |  |                          |              |  |
| 2   |   |                                 |  |  |                          |              |  |
| 3   |   |                                 |  |  |                          |              |  |
| 4   |   |                                 |  |  |                          |              |  |
| 5   |   |                                 |  |  |                          |              |  |
| 6   |   |                                 |  |  |                          |              |  |
| 7   |   |                                 |  |  |                          |              |  |
| 8   |   |                                 |  |  |                          |              |  |
| MISSING TEETH INFORMATION Permanent Primary   |   |                                 |  |  | I3. Total                |              |  |
| 44. (Place an 'X' on each missing tooth)  | 2 3 4 5 6   | 7 8 9 10 11 12                  | 13 14 15 16 A  | BCDEF                                  | GHIJ                     | Fee          |  |
| 32 3  | 1 30 29 28 27   | 26 25 24 23 22 21 2             | 20 19 18 17 T  | S R Q P O                              | NMLK                     |              |  |
|   |   |                                 |  | AUTHORIZATION - ASSIGNMENT OF BENEFITS |                          |              |  |
| charges for dental services and materials not pa<br>the treating dentist or dental practice has a contr   | 46. I hereby authorize and direct payment of the dental benefits otherwise payable to me, directly to the below named<br>dentist or dental entity     |                                 |  |  |                          |              |  |
| such charges. To the extent permitted by law, I consent to your use and disclosure of my protected health<br>information to carry out payment activities in connection with this claim. |   |                                 | x  |  |                          |              |  |
|   |   |                                 | Subscriber signature Date TREATING DENTIST AND TREATMENT LOCATION INFORMATION    |  |                          |              |  |
| X<br>Patient/Guardian signature   | 53. I hereby certify that the procedures as indicated by date are in progress (for procedures that require multiple<br>visits) or have been completed |                                 |  |  |                          |              |  |
| BILLING DENTIST OR DENTAL ENTITY  |   |                                 |  |  |                          |              |  |
| 47. Dentist or Entity Name, Address, City, State, ZIP Code  |   |                                 | X Signed (Treating Dentist) Date   |  |                          |              |  |
|   | 54. Treatment Location Address, City, State, ZIP Code   |                                 |  |  |                          |              |  |
|   |   |                                 |  |  |                          |              |  |
| 48. NPI   |   |                                 | 55. NPI  |  |                          |              |  |
| 49. License 50. SSN<br>Number 0r<br>TIN   |   |                                 | 56. License 57. Provider<br>Specialty<br>Code                                    |  |                          |              |  |
| 51. Phone 52. Additional<br>Number Provider ID  |   |                                 | 58. Phone 59. Additional Provider ID   |  |                          |              |  |

## **Claim Form Disclosure**

## You may be subject to civil and criminal penalties for knowingly providing false or misleading information.

Puerto Rico: Any person who knowingly and with the intention to defraud presents false information in an

insurance application or, who presents helps or has a fraudulent claim presented for the payment of a loss or other benefit, or presents more than one claim for the same loss or damage, will incur in a felony and if convicted, will be sanctioned for each violation with a fine of no less than five thousand (\$5,000) dollars or no more than ten thousand (\$10,000) dollars or imprisonment by the fixed term of three years, or both punishments. With aggravating circumstances the fixed term of the punishment could go up to five (5) years; with mitigating circumstances the punishment could be reduced to a minimum of two (2) years.